Docket No.

294011US0PCT

MAIL STOP PCT

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IN THE UNIVERSE ATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Harm WIESE, et al.

SERIAL NO:

10/587,973

GAU:

FILED:

August 3, 2006

EXAMINER:

FOR:

METHOD FOR IMPROVING THE STORAGE STABILITY OF COMPOSITE PARTICLE DISPERSIONS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Tel. (703) 413-3000 Fax. (703) 413-2220

(OSMMN 05/03)

Customer Number

Surinder Sachar Registration No. 34,423 Docket No.

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Norman F. Oblon

Registration No. 24,618

Customer Number 22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Surinder Sachar

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Form PTO 1449 (Modified)		U.S. DEPARTMENT (PATENT AND TRAD		ATTY DOCKET NO. 294011USOPCT APPLICANT	. 3	SERIAL N		
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LIST OF	DEEE	PENCES CITED BY AP	DICANT					
LIST OF REFERENCES CITED BY APPLICANT				Harm WIESE, et al.				
				FILING DATE		GROUP		
				August 3, 2006				
		T	т	U.S. PATENT DOCUMENTS	·	 		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS		
	AA	6 387 997	05-14-02	GROLEMUND, Mary E. et al.				
	AB	2002 0132890	09-19-02	RAMESH, Swaminathan et al.				
	AC	5 279 664	01-18-94	ROBINSON, Glenn N. et al.				
	AD	3 544 500	12-01-70	DESMOND, Wilfrid John Osmond et al.				
	AE	4 421 660	12-20-83	SOLC NEE Hajna, Jitka				
	AF	4 608 401	12-26-86	MARTIN, Robert W.				
	AG	4 981 882	01-01-91	SMITH, Oliver W. et al.				
	АН	4 269 749	05-26-81	MARRIOTT, Robert C.				
	Al						, <u></u>	
	AJ							
			FO	REIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO		
	AK		01-03-03	WO (with English abstract and equivaler US 2004 0171728)	nt of		NO	
	AL		04-04-84	EP (equivalent of US 4 771 086)			NO	
	AM	 	09-23-92	EP (with English abstract)			NO	
	AN	0 572 128	12-01-93	EP (equivalent of US 5 431 956)	nt of US 5 431 956)		NO	
	AO	2 227 739	08-08-90	GB			NO	
	AP		03-15-01	WO (with English abstract and equivalen US 6 756 437)	ŀ		NO	
	AQ	01 29106	04-26-01	WO (with English abstract and equivalen US 6 833 401)	nt of		NO	
	AR							
	AS							
	AT							
	AU							
	AV							
		OTHER REF	ERENCES (In	ncluding Author, Title, Date, Pertinent	Pages, etc	c.)		
	AW							
	AX							
	AY							
	AZ				Additional References sheet(s) attached			
Examiner					Date Considered			
Examiner: Init	ial if re	ference is considered, w	hether or not	citation is in conformance with MPEP 609 with next communication to applicant.	; Draw line	through cit	ation if not in	
			y or una lonn v	with next communication to applicant.			l	

U.S. PCT Application Serial No: 10/587,973

Filed: August 3, 2006 Harm WIESE, et al.

Docket No. 294011US0PCT



STATEMENT OF RELEVANCY

- 1) References AA AC and AK have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AD AH and AL AQ are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.